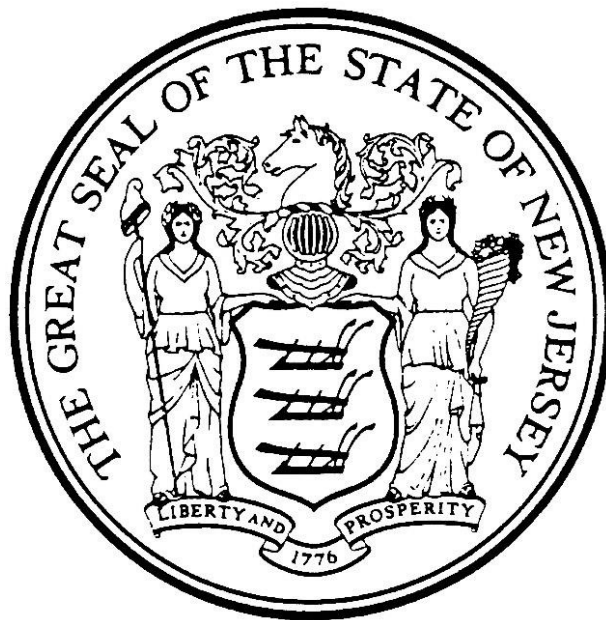


STATE OF NEW JERSEY
CANNABIS REGULATORY COMMISSION



PERSONAL HISTORY DISCLOSURE FORM

STATE OF NEW JERSEY CANNABIS REGULATORY
COMMISSION
PERSONAL HISTORY DISCLOSURE FORM

You must use the latest version of Adobe Reader (free) to fill out this form. Do not use a web browser. For the latest version of Adobe Reader, visit: <https://get.adobe.com/reader/>

INSTRUCTIONS

I. COMPLETING THIS FORM:

- a. You must make accurate statements and include all material facts. Any misrepresentation, or the failure to provide requested information, may result in the denial of your request for suitability.
- b. Read each question carefully prior to answering. Answer every question completely. Do not leave blank spaces. If a question does not apply to you, indicate "Does Not Apply" in response to that question. If there is nothing to disclose in response to a particular question, indicate "None" in response to that question. Failure to provide a response to every question may result in the denial of your request for suitability.
- c. All entries on this form, except initials and signatures, must be typed. If your disclosure form is not legible, it will not be accepted. **Please submit electronic copies of this form and upload them through the application portal. Please also save a paper copy for your own records.**
- d. If the space available is insufficient to respond to a question, you are to supply the required information on an attachment page, and clearly identify which question you are answering.
- e. If you make any modification to the questions or information contained in this form, your request for suitability may be rejected. Once your disclosure form is accepted, it becomes the property of the CRC and will not be returned.

II. BE SURE TO:

- a. Upload a recent (within the past six months) color photograph of yourself in the space provided.
- b. Sign the Statement of Truth form in the presence of a notary public, justice of the peace, or other person legally authorized to notarize your signature.
- c. Sign the Release Authorization in the presence of a notary public or other person legally authorized to notarize your signature.
- d. Sign the Waiver of Liability in the presence of a notary public or other person legally authorized to notarize your signature.

III. BEFORE YOU SUBMIT THIS FORM, BE SURE THAT:

- a. The Statement of Truth form, Release Authorization, Release of Information to Cannabis Related Business and Waiver of Liability are notarized on the original application.
- b. Every question has been answered completely.
- c. You retain a completed copy of your application package for your own records.

STATE OF NEW JERSEY CANNABIS REGULATORY
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STATEMENT OF TRUTH

STATE/PROVINCE OF _____

COUNTY/DISTRICT OF _____

SOCIAL SECURITY # _____

I, _____,

being duly sworn according to law, on my oath, under penalties of perjury, depose and say:

1. I am the individual who is submitting this personal history disclosure form.
2. I personally supplied the information contained in this form.
3. I understand and read the English language, or I have had an interpreter read, explain and record the answer to each and every question on this application form.
4. Any document accompanying this Personal History Disclosure Form that is not an original document is a true copy of the original document.
5. I swear (or affirm) that the foregoing statements made by me are true. I am aware that if any of the foregoing statements made by me are willfully false, I am subject to punishment.

NAME OF CANNABIS BUSINESS: _____

ENTITY: _____

POSITION: _____

DATED: _____ (LEGAL SIGNATURE)
(Signature of Applicant)

Subscribed and sworn to before me this _____ day of _____, _____
Month Year

NOTARY PUBLIC, JUSTICE OF THE PEACE
COMMISSIONER FOR DECLARATIONS OR OTHER
PERSON AUTHORIZED TO TAKE DECLARATIONS

STATE/PROVINCE, COUNTRY

STATE OF NEW JERSEY CANNABIS REGULATORY
COMMISSION
PERSONAL HISTORY DISCLOSURE FORM

RELEASE AUTHORIZATION

To All Courts, Probation Departments, Selective Service Boards, Employers, Educational Institutions, Banks, Financial and Other Such Institutions, and All Governmental Agencies - federal, state and local, without exception, both foreign and domestic.

I, _____
(Name)

have authorized the New Jersey Cannabis Regulatory Commission (CRC) to conduct a full investigation into my background and activities.

Therefore, you are hereby authorized to release all information pertaining to me, documentary or otherwise, as requested by any employee or agent of the CRC provided that he or she certifies to you that I have submitted a disclosure form to the CRC.

This authorization shall supersede and countermand any prior request or authorization to the contrary.

A photocopy of this authorization will be considered as effective and valid as the original.

DATED: _____ (LEGAL SIGNATURE)
(Signature of Applicant)

NOTARY PUBLIC

PRINT NAME

Subscribed and sworn to
before me this _____ day
of _____, 20_____
Month Year

STATE OF NEW JERSEY CANNABIS REGULATORY
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WAIVER OF LIABILITY

I, _____ hereby waive liability, as to the
(Name)

State of New Jersey, Cannabis Regulatory Commission, and their instrumentalities and agents, for any damages resulting from any disclosure or publication in any manner, other than a willfully unlawful disclosure or publication, of any material or information acquired during the permitting process or during any inquiries, investigations or hearings.

DATED: _____ (LEGAL SIGNATURE)
(Signature of Applicant)

NOTARY PUBLIC

PRINT NAME

Subscribed and sworn to

before me this _____ day

of _____, 20____
Month Year

STATE OF NEW JERSEY CANNABIS REGULATORY
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PERSONAL DATA

PLEASE TYPE THE ANSWERS TO THE
FOLLOWING QUESTIONS IN THE SPACES PROVIDED

NAME:

LAST (INCLUDE SR., JR., ETC., IF APPLICABLE)

FIRST

MIDDLE

MAILING ADDRESS/POSTAL ADDRESS:

NUMBER AND STREET

APT

CITY/TOWN

STATE/PROVINCE

ZIP/POSTAL CODE

HOME ADDRESS: (If different than mailing address / postal address)

NUMBER AND STREET

APT

CITY/TOWN

STATE/PROVINCE

ZIP/POSTAL CODE

PRESENT BUSINESS ADDRESS:

NUMBER AND STREET

APT

CITY/TOWN

STATE/PROVINCE

ZIP/POSTAL CODE

HOME TELEPHONE NUMBER: _____

WORK TELEPHONE NUMBER: _____ **FAX NUMBER:** _____

DATE OF BIRTH:

(MONTH)

(DAY)

(YEAR)

E-MAIL ADDRESS (OPTIONAL):

SEX	COLOR OF EYES	COLOR OF HAIR	HEIGHT	WEIGHT
			____ FT ____ IN	____ LBS

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CANNABIS BUSINESS NAME: _____

ENTITY: _____

POSITION: _____

HAVE YOU BEEN KNOWN BY ANY OTHER NAME OR NAMES? YES NO

IF YES, LIST THE ADDITIONAL NAMES BELOW AND SPECIFY DATES OF USE FOR EACH. (INCLUDE MAIDEN NAME, ALIASES, NICKNAMES, OTHER NAME CHANGES, LEGAL OR OTHERWISE.)

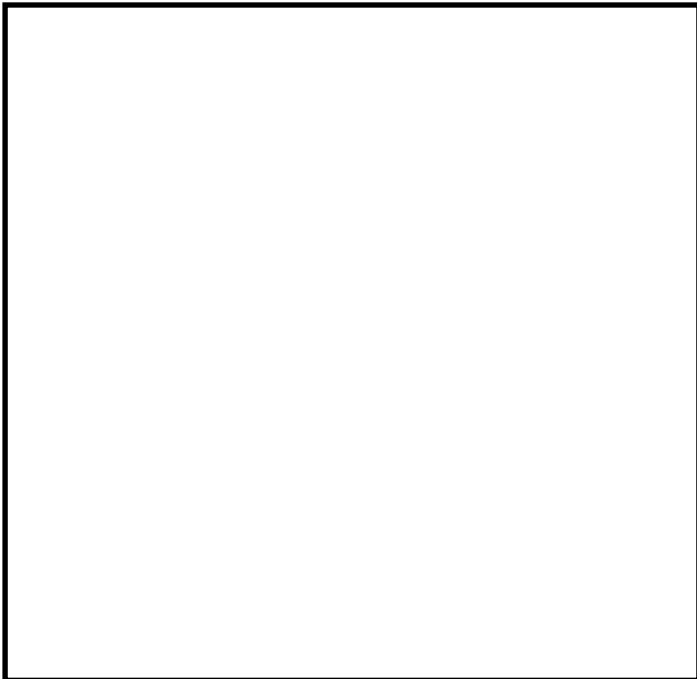
LAST FIRST M.I. START (M/Y) END (M/Y)

LAST FIRST M.I. START (M/Y) END (M/Y)

LAST FIRST M.I. START (M/Y) END (M/Y)

LAST FIRST M.I. START (M/Y) END (M/Y)

LAST FIRST M.I. START (M/Y) END (M/Y)



UPLOAD A COLOR PHOTOGRAPH THAT WAS TAKEN WITHIN THE PAST SIX MONTHS.

STATE OF NEW JERSEY CANNABIS REGULATORY
COMMISSION
PERSONAL HISTORY DISCLOSURE FORM

FAILURE TO ANSWER ANY QUESTION ON THIS FORM COMPLETELY AND TRUTHFULLY MAY RESULT IN DENIAL OF YOUR REQUEST FOR SUITABILITY.

1. Of what country(ies) are you a citizen?

Date of birth: _____

Place of birth: _____
CITY/TOWN STATE/PROVINCE COUNTY

2. Have you ever been issued a passport? Yes No

If yes, provide the following information about your passport(s):

PASSPORT NUMBER	COUNTRY OF ISSUE	PLACE ISSUED	DATE ISSUED	EXPIRATION DATE

RESIDENCE DATA

3. Begin with your current residence(s) and work back in time to provide the following information with respect to each place where you have lived (including residences while attending college or while in military service) during the past ten (10) years.

DATES		ADDRESS (STREET, APT#, CITY/TOWN, STATE/PROVINCE, COUNTRY & ZIP/POSTAL CODE)	OWN OR RENT
FROM: (MM/YY)	TO: (MM/YY)		

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FAMILY/SOCIAL DATA

4. Are any members of your family (including spouse or civil union partner, children, parents and/or siblings) associated with or employed by any cannabis related business in New Jersey?

If yes, provide the following information:

NAME	DATE OF BIRTH	RELATION	NAME, ADDRESS, AND TELEPHONE NUMBER OF CANNABIS RELATED BUSINESS	DATES OF EMPLOYMENT	
				FROM: M/M/D/YY	TO: M/D/YY

5. Are any members of your family (including spouse or civil union partner, children, parents or siblings) associated with or employed by any company, either for-profit or nonprofit, licensed to cultivate or dispense marijuana for any purpose in any jurisdiction?

Yes No

If yes, provide the following information:

NAME	DATE OF BIRTH	RELATION	NAME, ADDRESS AND TELEPHONE NUMBER OF CANNABIS BUSINESS	BUSINESS PHONE

PERSONAL HISTORY DISCLOSURE FORM 2
EMPLOYMENT AND LICENSING DATA

6. Have you ever been employed by any company, either for-profit or nonprofit, licensed to do business as a cannabis related company in any jurisdiction?

Yes No

If yes, provide the following information:

NAME OF EMPLOYER ORGANIZATION AND COUNTRY/STATE WHERE YOU WERE EMPLOYED	ADDRESS, EMAIL or TELEPHONE NUMBER OF EMPLOYER(S)	DATES		TITLE/POSITION HELD AND DESCRIPTION OF DUTIES	NAME OF SUPERVISOR	REASON FOR LEAVING AND COMPENSATION AT TERMINATION OF EMPLOYMENT
		FROM: M/D/YY	TO: M/D/YY			

PERSONAL HISTORY DISCLOSURE FORM 2
EMPLOYMENT AND LICENSING DATA

7. Please provide the following information regarding your employment for the past ten (10) years or from age 18, whichever is less. Begin with your present job and work back in time. Give dates of any unemployment between jobs in proper sequence. Include all part-time and full-time employment and any military service.

NAME OF EMPLOYER ORGANIZATION AND COUNTRY/STATE WHERE YOU WERE EMPLOYED	ADDRESS, EMAIL or TELEPHONE NUMBER OF EMPLOYER(S)	DATES		TITLE/POSITION HELD AND DESCRIPTION OF DUTIES	NAME OF SUPERVISOR	REASON FOR LEAVING AND COMPENSATION AT TERMINATION OF EMPLOYMENT
		FROM: M/D/YY	TO: M/D/YY			

STATE OF NEW JERSEY CANNABIS REGULATORY
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8. Regarding the previous question concerning employment:

- a. Were you ever discharged, suspended or asked to resign from employment? Yes No
- b. Were you ever charged with any infraction in relation to any employment which was the subject of any disciplinary action? Yes No

If yes to either question, provide the following information as to each such time you were discharged, suspended, asked to resign or disciplined:

DATE	NAME AND ADDRESS OF EMPLOYER	NAME OF SUPERVISOR	REASON FOR DISCHARGE, SUSPENSION, RESIGNATION OR DISCIPLINARY ACTION

EDUCATIONAL DATA

9. Beginning with secondary school (high school), provide the information requested below with respect to each school, college, graduate or post graduate school you have attended.

DATES		NAME AND ADDRESS OF SCHOOL, TRAINING PROGRAM, ETC.	DESCRIPTION OF EDUCATION PROGRAM	DEGREE OR CERTIFICATION	GRADUATED YES
FROM: M/D/YY	TO: M/D/YY				

OFFICES AND POSITIONS

10. List all offices, trusteeships, directorships, and fiduciary positions. Begin with the most recent and work back in time to provide the following information.

DATES		TITLE OF OFFICE OR POSITION HELD	NAME AND ADDRESS OF FIRM, CORPORATION, ASSOCIATION, PARTNERSHIP, NON-PROFIT ENTITY, FAMILY TRUST AND OTHER BUSINESS ENTITY	COMPENSATION RECEIVED
FROM: M/D/YY	TO: M/D/YY			

11. Have you ever applied for, or held, any professional or occupational license, permit or certification, in any jurisdiction.

Yes No

If yes, provide the following information:

NAME ON LICENSE	TYPE OF LICENSE	DATES		NAME AND ADDRESS OF LICENSING AGENCY/ORGANIZATION	DISPOSITION
		FROM: M/D/YY	TO: M/D/YY		

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12. Have you received, or do you expect to receive, any compensation (whether in the form of salary, bonuses, fringe benefits or otherwise) from the cannabis related business, and/or its investors, principals, partners, board members, directors, trustees, officers, staff members, employees and/or any other Entity or person in any way affiliated or connected with the cannabis business.

Yes No

If yes, provide the following information:

FORM OF COMPENSATION	DATE RECEIVED	AMOUNT

13. Have you made any loans, gifts, or payments in the cumulative amount of \$10,000 or more to the cannabis related business and/or its investors, principals, partners, board members, directors, trustees, officers, staff members, employees and/or any other Entity or person in any way affiliated or connected with the cannabis business?

Yes No

If yes, provide the following information:

NAME OF RECIPIENT	TYPE OF PAYMENT	AMOUNT	TERMS OF REPAYMENT	DATE

CIVIL, CRIMINAL AND INVESTIGATORY PROCEEDINGS

Prior to answering this question, carefully review the following definitions:

- A. "Arrest" includes any detaining, holding, or taking into custody by any police or other law enforcement authorities to answer for the alleged commission of any "offense."
- B. "Charge" includes any indictment, complaint, information, summons, or other notice of the alleged commission of any "offense."
- C. "Offense" includes all felonies, crimes, high misdemeanors, misdemeanors, disorderly persons offenses, petty disorderly offenses, driving while intoxicated/impaired motor vehicle offenses and violations of probation or any other court order. Juvenile offenses that occurred within the most recent 10-year period are also included within the definition of "offense."

IMPORTANT

The Cannabis Regulatory Commission will make inquiries to establish whether you have had any involvement with law enforcement agencies. Failure to disclose any such involvement will be taken into account in assessing your character, honesty and integrity.

14. a. Have you ever been arrested or charged with any offense in any jurisdiction?

Yes No

b. Did the arrest or charge involve any controlled dangerous substance or controlled dangerous substance analog in violation of N.J.S.A. 2C:35-1 et. seq., any similar law of the United States or any other state (including, but not limited to, unlawful possession of a controlled dangerous substance and possession of a controlled dangerous substance with intent to manufacture, distribute, or dispense)?

Yes No

If yes, to either of the above questions, provide the following information:

FULL LEGAL NAME OF DEFENDANT	DOCKET #	COURT / JURISDICTION	NATURE OF CHARGE	DISPOSITION	OFFENSE DATE (MM/YYYY)
DESCRIPTION OF REHABILITATION FOLLOWING ABOVE CHARGE					
DESCRIPTION OF REHABILITATION FOLLOWING ABOVE CHARGE					
DESCRIPTION OF REHABILITATION FOLLOWING ABOVE CHARGE					

PERSONAL HISTORY DISCLOSURE FORM

CIVIL, CRIMINAL AND INVESTIGATORY PROCEEDINGS

FULL LEGAL NAME OF DEFENDANT	DOCKET #	COURT / JURISDICTION	NATURE OF CHARGE	DISPOSITION	OFFENSE DATE (MM/YYYY)
DESCRIPTION OF REHABILITATION FOLLOWING ABOVE CHARGE					
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